

Directorate	Service Area	Audit Area	Audit Scope	Grading	Progress / Comments
Commercial	Customer Services	Isolation Payments (2021/22)	To determine the effectiveness of the internal controls operating in respect of the processes undertaken for Isolation Payments as part of the Covid pandemic response.	Not Applicable	A review commenced in January 2022. Draft report being prepared.
Education	Education Transformation	Brynbach Primary - Savings club (2021/22)	Review of the savings club following the school fund audit.	Limited Assurance	Final report issued in January 2022. 3 weaknesses were identified of which all 3 were classified as high risk. The Manager has agreed an appropriate action to mitigate the weaknesses identified. The Internal Audit report can be seen at Appendix B
		Youth Support Grant	Internal Audit review to assess compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Full Assurance	Audit certificate and audit report issued in May 2022. No weaknesses identified.
		School systems - ICT	To determine the effectiveness of the internal controls operating in respect of the ICT system passwords in schools, as identified as part of the Control Risk Self Assessment process.	Not Applicable	A review commenced in March 2022. Audit report being prepared.
General	General Audit Areas	Contract Audit - <£75k	To determine the effectiveness of the internal controls operating in respect of Contract Compliance for a sample of Contracts valued at less than £75,000.	Not Applicable	A review commenced in April 2022. Audit testing ongoing.
		Contract Compliance (2021/22)	To determine the effectiveness of the internal controls operating in respect of Contract Compliance for a sample of Contracts awarded.	Not Applicable	A review commenced in February 2022. Audit testing ongoing.
		Thematic audit Recruitment and Retention (2021/22)	To undertake a thematic review of the internal controls within the systems and processes involved in the recruitment and retention of employees, from the pre-recruitment stages through to end of an employee's probationary period.	Not Applicable	Final Audit reports issued in May 2022. The responsible Managers have agreed appropriate actions to mitigate the weaknesses identified.
Governance and Partnerships	Partnership and Policy	CCTV	To determine the effectiveness of the internal controls operating in respect of CCTV in particular compliance with relevant Legislation and Code of Practice.	Not Applicable	Draft report issued in June 2022. A meeting has been arranged to agree an action plan.
		Declaration of Interest Audit (2021/22)	Internal Review to ensure compliance with the Code of Conduct in respect of the Register of Business Interest	Limited Assurance	Final report issued in June 2022. 4 weaknesses were identified of which all were classified as high risk. The Manager has agreed an appropriate action to mitigate the weaknesses identified. The Internal Audit report can be seen at Appendix B
		Safeguarding	To determine the effectiveness of the internal controls operating in respect of Safeguarding with specific regard to the response made to the recommendations of Audit Wales.	Not Applicable	A review commenced in October 2021. Draft report being reviewed.
	Organisational Development	Payroll Overtime	To determine the effectiveness of the internal controls operating in respect of the overtime process in the Payroll Section.	Reasonable Assurance	Final report issued in May 2022. 3 weaknesses were identified of which 1 was classified as high risk, 1 was medium risk and 1 was low risk The Manager has agreed an appropriate action to mitigate the weaknesses identified.
		Notification of Terminations	To determine the effectiveness of the internal controls operating in respect of the Notification process in respect of Terminations.	Limited Assurance	Final report issued in June 2022. 7 weaknesses were identified of which 5 were classified as high risk, and 2 were medium risk. The Manager has agreed an appropriate action to mitigate the weaknesses identified. The Internal Audit report can be seen at Appendix B
Legal and Corporate Compliance	Corporate Services & Registration	Registrars Service Review	To undertake a service review of the Registrars systems and operations with a review to streamline processes and provide efficiencies for the Authority.	Not Applicable	A review commenced in May 2022. Audit planning ongoing.
Regeneration and Community Services	Community Services - Public Protection	Enable Grant	Internal Audit review to assess compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Not Applicable	A review commenced in May 2022. Audit testing ongoing.
		Temporary Accommodation (Housing Options)	To determine the effectiveness of the internal controls operating within Housing Options and Temporary Accommodation.	Not Applicable	A review commenced in June 2022. Audit testing ongoing.
		Home to School Transport (2021/22)	To determine the effectiveness of the internal controls operating in respect of the Home to School Transport.	Not Applicable	A review commenced in July 2021. Audit testing is ongoing.
		Trading Standards Covid Enforcement	To determine the effectiveness of the internal controls operating in respect of the processes undertaken as part of the Covid pandemic and Trading Standards enforcement.	Not Applicable	A review commenced in April 2022. Audit testing is ongoing.
	Regeneration - Development Control	Building Control & Dangerous Structures (2021/22)	To determine the effectiveness of the internal controls operating in respect of the Building Control Process.	Not Applicable	A review commenced in July 2021. Draft reports being reviewed.
	Regeneration - Thriving Communities	Economic Resilience Fund - Support to Businesses (2021/22)	To determine the effectiveness of the internal controls operating in respect of the processes undertaken for administering the Economic Resilience Fund grants as part of the Covid pandemic response.	Not Applicable	A review commenced in March 2022. Draft report being reviewed.
Resources	Financial Services	Regional Consortia School Improvement Grant	Internal Audit review to assess compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Not Applicable	A review commenced in June 2022. Audit testing ongoing.
		Pupil Development Grant	Internal Audit review to assess compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Not Applicable	A review commenced in June 2022. Audit testing ongoing.
	Adult Services - Development & Commissioning	Supporting People Regional Development Co-ordinator (RDC) Grant	Internal Audit review to assess compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Not Applicable	A review commenced in June 2022. Audit testing ongoing.
		Supporting People/ Housing Support Grant	Internal Audit review to assess compliance with the grant terms and conditions including signing the Grant Certificate for Welsh Government.	Not Applicable	A review commenced in May 2022. Audit report being prepared.

Social Services	Adult Services - Provider Services	Augusta House (2021/22)	Internal Audit Establishment review to determine the effectiveness of the internal controls operating in Augusta House .	Reasonable Assurance	Final report issued in April 2022. 8 weaknesses were identified of which 4 were classified as high risk and 4 medium risk. The Manager has agreed an appropriate action to mitigate the weaknesses identified.
		Social Services Overtime Review	To undertake a review of the administration process in respect of Social Services Homecare overtime payments and consolidated timesheets submitted to Payroll.	Not Applicable	A review commenced in November 2021. Audit report being prepared.